

GDPR REQUEST FORM

In order to assist you in exercising your rights under the GDPR, you are asked to complete this form and upload it to our secured trading system or sent via email to dpo@Smcapitalmarkets.com. Authentication to the system is needed to verify your identity and protect your data.

1. Data Subject Details:

Title	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other <input type="checkbox"/>
Surname					
First name(s)					
Telephone number					
Email address					

2. Requester Details (if applicable/if different from the data subject)

Title	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other <input type="checkbox"/>
Surname					
First name(s)					
Telephone number					
Email address					
Means of authorisation					

We may request additional information from you to help confirm your identity and to provide you with the personal data relevant to your request.

3. Details of Request (mark the relevant category)

Right to opt-out direct Marketing (SMS Emails)

Right of Access

Right of portability

Right of portability specifications (if applicable please explain why we should forward your personal information to another controller/processor and complete the personal details of such person):

Full Name: _____

Email Address: _____

- Right to restriction of processing
- Right to object to processing and profiling based on the company's legitimate interests
- Right to opt-out of processing information for automated decision making and profiling
- Right of erasure
- Right of rectification

Rectification specifications:

We will begin processing your request as soon as we have verified your identity and have all of the information we need to locate your relevant personal data.

4. Declaration

I, _____, the undersigned and the person identified in section 1 or section 2 above, confirm that the information provided on this form is correct and that I am the person whose name appears on this form. I understand that: (1) SM Capital Markets Ltd must confirm proof of identity and may need to contact me again for further information; (2) my request will not be valid until SM Capital Markets Ltd receives all of the required information to process the request; and (3) I am entitled to a copy of certain limited personal data that I have provided to you, or, I may request that you transfer my personal data to another controller.

Therefore, I hereby request that SM Capital Markets Ltd provide me with the rights requested above, and the data associated with said rights.

Signature: _____

Date: _____